Exhibit 300 (BY2008)

PART ONE	
OVERVIEW	
1. Date of Submission:	2006-11-07
2. Agency:	015
3. Bureau:	45
4. Investment Name:	Tax Return Database (TRDB)
5. UPI:	015-45-01-14-01-2271-00

6. What kind of investment will this be in FY2008?

Mixed Life Cycle

7. What was the first budget year this investment was submitted to OMB?

FY2001 or earlier

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.

The TRDB system is an electronic repository of federal tax returns. It is a centralized corporate database containing the data submitted by taxpayers on their federal tax returns. The TRDB receives the return data from a variety of electronic submission systems. This includes those filed using an authorized e-file provider, through an online filing company or using a Fed/State program. When a taxpayer files their tax return on paper, certain information is transcribed into the processing system to post to their account. Any changes or corrected errors are annotated on their return. The paper return, including attachments, is stored first in our local file facilities, then later in the National Archives and Records Administration (NARA) for a period of time determined by statute. During this time, the return is available to clarify discrepancies, such as errors when filed, compliance issues (audits or underreported income), and the taxpayer can request a copy of their return if they need it for such things as mortgage or student loan applications. The TRDB provides the same function as the paper folder for electronically filed federal tax returns by storing attached schedules, forms and narratives. TRDB also stores corrections made to the tax return during processing, as well as the status of the tax return as it is processed and posted to the account of the taxpayer. The TRDB is expanding rapidly. The storage requirements increase each year as the rate of taxpayers filing electronically grows. As modernized IRS applications come online, Modernized-TRDB will store the data received through the new interfaces. However, TRDB will continue to receive data through existing (legacy) interfaces until they are replaced. TRDB is a steady state system. No new functionality is planned. Steady state operations include annual programming changes to incorporate: * legislative changes to the tax law as reflected in the form filed; * modifications to data elements as required by the IRS business units; * ongoing database administration, including maintenance of the data model; * and maintaining the interface for online access.

9. Did the Agency's Executive/Investment Committee approve this request?

yes

9.a. If "yes," what was the date of this approval?

2006-08-09

10. Did the Project Manager review this Exhibit?

yes

11. Project Manager Name:

Garner, Christina

Project Manager Phone:

202-283-3423

Project Manager Email:

Christina.L.Garner@irs.gov

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

no

12.a. Will this investment include electronic assets (including computers)?

no

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

no	
13. Does this investment support one of the PMA initiatives?	
yes	
If yes, select the initiatives that apply:	
Expanded E-Government	
Human Capital	
13.a. Briefly describe how this asset directly supports the identified initiative(s)?	
Human Capital is supported by using the online centralized repository of tax returns which enables the business units to provide increased customer service to the taxpayer. Expanded E-Gov is supported. This centralized, authoritative repository of electronically filed tax returns provides service to all current forms of electronic filing, including on-line, through authorized e-file providers.	
14. Does this investment support a program assessed using OMB's Program Assessment Rating Tool (PART)?	
no	
14.a. If yes, does this investment address a weakness found during the PART review?	
no	
15. Is this investment for information technology (See section 53 for definition)?	
yes	
16. What is the level of the IT Project (per CIO Council's PM Guidance)?	
Level 2	
17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)	
(1) Project manager has been validated as qualified for this investment	
18. Is this investment identified as high risk on the Q4 - FY 2006 agency high risk report (per OMB's high risk memo)?	
yes	
19. Is this a financial management system?	
no	
20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)	

Hardware	0
Software	0
Services	100
Other	0

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	
no	
22. Contact information of individual responsible for privacy related questions.	
Name	
Zaida Candelario	
Phone Number	
202-927-4674	
Title	
Privacy Officer	
Email	
Zaida.Candelario@irs.gov	
23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?	
yes	
SUMMARY OF SPEND	

	PY-1 & Earlier	PY	CY
	-2005	2006	2007
Planning Budgetary Resources	0.000	0.000	0.000
Acquisition Budgetary Resources	0.000	0.000	0.000
Maintenance Budgetary Resources	7.403	3.867	1.986
Government FTE Cost	8.045	3.005	2.850
# of FTEs	81	26	32

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

no

PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Table 1

	Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
1	2003	Treasury: Manage U.S. Government's Finances Effectively. IRSI: Top-Quality Service to Each Taxpayer in Every Interaction (Reduce taxpayer burden, convert most interactions to electronic means).Productivity Through a Quality Work Environment.	TRDB will continue to meet baseline while experiencing a 20% increase in volume of electronic filers.	The TRDB Stores tax returns into the database within 24 hours 99% of the time, within 48 hours 100% of the time.	Store tax returns into database within a 24 hour time period 99% of the time, within 48 hours 100% of the time. MCC Processing Validation Section monitors daily processing validation reports.	99% within 24 hours and 100% within 48 hours.
2	2003	Treasury: Manage U.S. Government's Finances	TRDB will continue to	TRDB provides on-line access to the	Provide on-line access to the	TRDB was available for on-

		Effectively. IRS: Top-Quality Service to Each Taxpayer in Every Interaction (Reduce taxpayer burden, convert most interactions to electronic means). Productivity Through a Quality Work Environment.	meet baseline while experiencing a 20% increase in volume of electronic filers.	database 99% of the time outside of the maintenance window (maintenance window is 2am to 6am Tuesday through Saturday and all day Sunday).	TRDB 99% of the time outside of the maintenance window (maintenance window is 2am to 6am Tuesday through Saturday and all day Sunday). The TRDB section monitors system logs daily.	line access for 297 out of 300 days, or 99% of the time.
3	2004	Treasury: Manage U.S. Government's Finances Effectively. IRS: Top-Quality Service to Each Taxpayer in Every Interaction (Reduce taxpayer burden, convert most interactions to electronic means). Productivity Through a Quality Work Environment.	TRDB will continue to meet baseline while experiencing a 20% increase in volume of electronic filers.	The TRDB Stores tax returns into the database within 24 hours 99% of the time, within 48 hours 100% of the time	Store tax returns into database within a 24 hour time period 99% of the time, within 48 hours 100% of the time. MCC Processing Validation Section monitors daily processing validation reports.	99% within 24 hours and 100% within 48 hours. Year to Date FY 2004
4	2004	Treasury: Manage U.S. Government's Finances Effectively. IRS: Top-Quality Service to Each Taxpayer in Every Interaction (Reduce taxpayer burden, convert most interactions to electronic means). Productivity Through a Quality Work Environment.	TRDB will continue to meet baseline while experiencing a 20% increase in volume of electronic filers.	TRDB provides on-line access to the database 99% of the time outside of the maintenance window (maintenance window is 2am to 6am Tuesday through Saturday and all day Sunday).	Provide on-line access to the TRDB 99% of the time outside of the maintenance window (maintenance window is 2am to 6am Tuesday through Saturday and all day Sunday). The TRDB section monitors system logs daily.	TRDB was available for on-line access for 248 out of 250 days, or 99% of the time. Year to Date FY 2004
5	2005	Treasury: Manage U.S. Government's Finances Effectively. IRS: Top-Quality Service to Each Taxpayer in Every Interaction (Reduce taxpayer burden, convert most interactions to electronic means). Productivity Through a Quality Work Environment.	TRDB will continue to meet baseline while experiencing a 20% increase in volume of electronic filers.	The TRDB Stores tax returns into the database within 24 hours 99% of the time, within 48 hours 100% of the time.	Store tax returns into database within a 24 hour time period 99% of the time, within 48 hours 100% of the time. MCC Processing Validation Section monitors daily processing validation reports.	As of 6/6/05, on-line access was available 99% of the time within 24 hours and 100% of the time within 48 hours.
6	2005	Treasury: Manage U.S. Government's Finances Effectively. IRS: Top-Quality Service to Each Taxpayer in Every Interaction (Reduce taxpayer burden, convert most interactions to electronic means).	TRDB will continue to meet baseline while experiencing a 20% increase in volume of electronic filers.	TRDB provides on-line access to the database 99% of the time outside of the maintenance window (maintenance window is 2am to 6am Tuesday through Saturday	Provide on-line access to the TRDB 99% of the time outside of the maintenance window (maintenance window is 2am to 6am Tuesday through Saturday	As of 6/6/05, TRDB was available for on-line access 99% of the time.

		Productivity Through a Quality Work Environment.		and all day Sunday).	and all day Sunday). The TRDB section monitors system logs daily.	
7	2006	Treasury: Manage U.S. Government's Finances Effectively. IRS: Top-Quality Service to Each Taxpayer in Every Interaction (Reduce taxpayer burden, convert most interactions to electronic means). Productivity Through a Quality Work Environment.	TRDB will continue to meet baseline while experiencing a 20% increase in volume of electronic filers.	The TRDB Stores tax returns into the database within 24 hours 99% of the time, within 48 hours 100% of the time.	Store tax returns into database within a 24 hour time period 99% of the time, within 48 hours 100% of the time. MCC Processing Validation Section monitors daily processing validation reports.	As of 6/6/05, on-line access was available 99% of the time within 24 hours and 100% of the time within 48 hours.
8	2006	Treasury: Manage U.S. Government's Finances Effectively. IRS: Top-Quality Service to Each Taxpayer in Every Interaction (Reduce taxpayer burden, convert most interactions to electronic means). Productivity Through a Quality Work Environment.	TRDB will continue to meet baseline while experiencing a 20% increase in volume of electronic filers.	TRDB provides on-line access to the database 99% of the time outside of the maintenance window (maintenance window is 2am to 6am Tuesday through Saturday and all day Sunday).	Provide on-line access to the TRDB 99% of the time outside of the maintenance window (maintenance window is 2am to 6am Tuesday through Saturday and all day Sunday). The TRDB section monitors system logs daily.	As of 6/6/05, TRDB was available for on-line access 99% of the time.
9	2007	Treasury: Manage U.S. Government's Finances Effectively. IRS: Top-Quality Service to Each Taxpayer in Every Interaction (Reduce taxpayer burden, convert most interactions to electronic means). Productivity Through a Quality Work Environment.	TRDB will continue to meet baseline while experiencing a 20% increase in volume of electronic filers.	The TRDB Stores tax returns into the database within 24 hours 99% of the time, within 48 hours 100% of the time.	Store tax returns into database within a 24 hour time period 99% of the time, within 48 hours 100% of the time. MCC Processing Validation Section monitors daily processing validation reports.	As of 7/3/05, TRDB was available for on-line access 99% of the time.
10	2007	Treasury: Manage U.S. Government's Finances Effectively. IRS: Top-Quality Service to Each Taxpayer in Every Interaction (Reduce taxpayer burden, convert most interactions to electronic means). Productivity Through a Quality Work Environment.	TRDB will continue to meet baseline while experiencing a 20% increase in volume of electronic filers.	TRDB provides on-line access to the database 99% of the time outside of the maintenance window (maintenance window is 2am to 6am Tuesday through Saturday and all day Sunday).	Provide on-line access to the TRDB 99% of the time outside of the maintenance window (maintenance window is 2am to 6am Tuesday through Saturday and all day Sunday). The TRDB section monitors system logs daily.	As of 7/3/05, TRDB was available for on-line access 99% of the time.

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the FEA Performance Reference Model

(PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Table 2

Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results

EA

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?

yes

2. Is this investment included in the agency's EA Transition Strategy?

yes

2.a. If yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

Tax Return Database(TRDB)

3. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.whitehouse.gov/omb/egov/.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

	Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External Reuse?	Funding %
1	Extraction and Transformation	The data is extracted and added onto the Tax Return Data Base. Tax examiners and customer service representatives use this on-line data to work cases and respond to taxpayer inquiries.	Data Management	Extraction and Transformation	Information Retrieval	015-45-01-14-01-2271-00	Internal	25
2	Loading and Archiving	The electronic filer and the paper filer have the same service needs and retention requirements. Paper	Data Management	Loading and Archiving	Library / Storage	015-45-01-14-01-2271-00	Internal	20

#								
		return information is transcribed into the processing system to post to their account. The paper return and attachments, is stored first locally, then later in the National Archives and Records Administration (NARA). It is necessary to maintain TRDB as a research system for a minimum of seven years after input of new documents has ceased.						
3	Data Exchange	The electronic return is available to clarify discrepancies such as errors when filed, compliance issues, and the taxpayer can request a copy of their return.	Data Management	Data Exchange	Information Sharing	015-45-01-14-01-2271-00	Internal	10
4	Query	The electronic return data is extracted for use in other IRS systems. TRDB interfaces with internal systems, receives tax return data that is filed electronically and stores it in the database.	Search	Query	Information Retrieval	015-45-01-14-01-2271-00	Internal	25

4. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Risk Management	Component Framework	Security	Supporting Security Services	Custom code
2	Enterprise Application Integration	Service Access and Delivery	Access Channels	Other Electronic Channels	Custom code
3	Performance Management	Service Access and Delivery	Service Transport	Service Transport	Custom code
4	Enterprise Application Integration	Service Access and Delivery	Service Transport	Service Transport	Custom code

5	Data Integration	Service Platform and Infrastructure	Database / Storage	Database	IBM DB2
6	Loading and Archiving	Service Platform and Infrastructure	Database / Storage	Database	IBM DB2
7	Data Warehouse	Service Platform and Infrastructure	Database / Storage	Database	IBM DB2
8	Software Development	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	CA Allfusion Endevor
9	Software Development	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	CA Allfusion Endevor
10	Software Development	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	CA Allfusion Endevor
11	Software Development	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	CA Allfusion Endevor
12	Software Development	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	CA Allfusion Endevor
13	Performance Management	Service Platform and Infrastructure	Software Engineering	Test Management	Custom Code
14	Performance Management	Service Platform and Infrastructure	Software Engineering	Test Management	Custom Code
15	Performance Management	Service Platform and Infrastructure	Software Engineering	Test Management	Custom Code
16	Performance Management	Service Platform and Infrastructure	Software Engineering	Test Management	Custom Code
17	Modeling	Service Platform and Infrastructure	Software Engineering	Modeling	TI CoolGen
18	Risk Management	Component Framework	Security	Supporting Security Services	IBM RACF
19	Enterprise Application Integration	Service Interface and Integration	Integration	Enterprise Application Integration	Custom Code
20	Risk Management	Service Interface and Integration	Interface	Service Description / Interface	Custom Code
21	Enterprise Application Integration	Service Interface and Integration	Interface	Service Description / Interface	Custom Code
22	Enterprise Application Integration	Service Interface and Integration	Interface	Service Description / Interface	Custom Code

5. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?
no
5.a. If yes, please describe.
No.
6. Does this investment provide the public with access to a government automated information system?
no

PART TWO
RISK
You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.
Answer the following questions to describe how you are managing investment risks.
1. Does the investment have a Risk Management Plan?
yes
1.a. If yes, what is the date of the plan?
2006-11-15
1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?
no
3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule: (O&M investments do NOT need to answer.)
TRDB's life cycle costs are risk adjusted. The cost to implement and identify mitigation strategies are included in cost and schedule. Contingency costs are managed through Applications Development oversight.
COST & SCHEDULE
Does the earned value management system meet the criteria in ANSI/EIA Standard 748?
no
What costs are included in the reported Cost/Schedule Performance information?
Contractor and Government
2.e. As of date:
2006-09-30
7.b. If yes, explain the variance.
Investment is on schedule. Cost variance is due to contractor-to-fed conversion timing delays and internal IRS reallocation of funds.
7.c. If yes, what corrective actions are being taken?
The TRDB PM will not submit a CAP based on factors that contributed to TRDB being underspent, primarily realignment of funds for critical needs in the Corporate Data Division.
8. Have any significant changes been made to the baseline during the past fiscal year?
no